

G O D W I N G R U B E R

07-30-02

MPA

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July 28, 2002

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**VIA EXPRESS MAIL EV090610515US**

Assistant Commissioner for Patents  
Washington, D.C. 20231

EV090610515US)

Re: Patent Application For: **“Methods and System for Data Packet Filtering Using Tree-Like Hierarchy”**  
Serial No.: 10/028,473  
Attorney Docket No. 50001.2070  
Our File: 50001.2070

Dear Sir:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Response to Notice of Missing Parts (in triplicate);
- (2) Copy of Notice of Missing Parts;
- (3) Declaration and Power of Attorney of Inventors Denz and Blake;
- (4) Petition Under 37 CFR 1.47(a) - Inventor Refuses to Sign;
- (5) Declaration by William D. Kolb (including Exhibit A);
- (6) Declaration of Mary Bensel (including Exhibits A-E);
- (7) Petition for Extension of Time Under 37 CFR 1.136(a); and
- (8) Postcard.

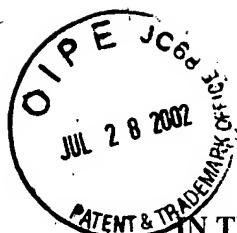
Please charge the payment of any outstanding fees to **Deposit Account No. 500530**. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account No. 500530**.

Please return the date-stamped postcard to the corresponding address as indicated. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned. Otherwise, please accept the enclosed.

Sincerely,

  
Arthur I. Navarro  
Registration No.: 40,744

AIN/mb  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Denz, et al.

Group Art Unit:

Serial No.: 10/028,473

Filed: December 28, 2001

Docket: 50001.2070

For: METHODS AND SYSTEM FOR DATA PACKET FILTERING USING TREE-LIKE HIERARCHY

**RESPONSE TO NOTICE OF MISSING PARTS**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice of Missing Parts date January 28, 2002, applicant hereby submits the missing Declaration. One signature is still missing because inventor Vishnu Natchu refused to sign.

A petition under 37 C.F.R. 1.47(a) is also submitted, for the purpose of proceeding without the signature of inventor Natchu.

A copy of the Notice of Missing Parts is enclosed.

Please charge **Deposit Account No. 500530** in the amount of **\$130.00** for the oath or declaration surcharge as set forth in 37 C.F.R. 1.16(l). The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account No. 500530**.

Respectfully submitted,

Arthur I. Navarro  
Reg. No. 40,744

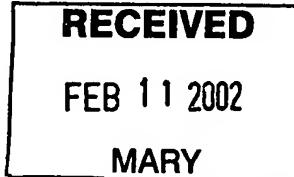


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,473	12/28/2001	Peter R. Denz	50001.2070

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CONFIRMATION NO. 1920  
FORMALITIES LETTER



\*OC000000007378480\*

Date Mailed: 01/28/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

*Melkam*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE